

## U.S. Patent and Trademark Office, Commerce

§ 1.20

on a physical electronic medium as specified in paragraph (b)(1)(ii) of this section:

- (A) Application as filed: \$20.00.
- (B) File wrapper and contents: \$55.00.
- (iv) If provided to a foreign intellectual property office pursuant to a priority document exchange agreement (see § 1.14 (h)(1)): \$0.00.

(2) Copy of patent-related file wrapper contents that were submitted and are stored on compact disc or other electronic form (e.g., compact discs stored in an Artifact Folder), other than as available in paragraph (b)(1) of this section:

- (i) If provided on compact disc or other physical electronic medium in a single order:

(A) First physical electronic medium in a single order: \$55.00.

(B) Additional fee for each continuing physical electronic medium in the single order of this paragraph (b)(2)(i): \$15.00.

- (ii) If provided electronically other than on a physical electronic medium per order: \$55.00.

(3) Copy of Office records, except copies available under paragraph (b)(1) or (2) of this section: \$25.00.

(4) For assignment records, abstract of title and certification, per patent: \$25.00.

(c) Library service (35 U.S.C. 13): For providing to libraries copies of all patents issued annually, per annum: \$50.00.

(d) For list of all United States patents and statutory invention registrations in a subclass: \$3.00.

(e) Uncertified statement as to status of the payment of maintenance fees due on a patent or expiration of a patent: \$10.00.

(f) Uncertified copy of a non-United States patent document, per document: \$25.00.

(g) Petitions for documents in a form other than that provided by this part, or in a form other than that generally provided by the Director, will be decided in accordance with the merits of each situation. Any petition seeking a decision under this section must be accompanied by the petition fee set forth in § 1.17(h) and, if the petition is granted, the documents will be provided at cost.

### § 1.20 Post issuance fees.

- (a) For providing a certificate of correction for applicant's mistake (§ 1.323) ..... \$100.00
- (b) Processing fee for correcting inventorship in a patent (§ 1.324) ..... \$130.00
- (c) In reexamination proceedings:
  - (1) For filing a request for *ex parte* reexamination (§ 1.510(a)):
    - By a micro entity (§ 1.29) ..... \$3,000.00
    - By a small entity (§ 1.27(a)) ..... \$6,000.00
    - By other than a small or micro entity ..... \$12,000.00
  - (2) [Reserved]
  - (3) For filing with a request for reexamination or later presentation at any other time of each claim in independent form in excess of 3 and also in excess of the number of claims in independent form in the patent under reexamination:
    - By a micro entity (§ 1.29) ..... \$105.00
    - By a small entity (§ 1.27(a)) ..... \$210.00
    - By other than a small or micro entity ..... \$420.00
  - (4) For filing with a request for reexamination or later presentation at any other time of each claim (whether dependent or independent) in excess of 20 and also in excess of the number of claims in the patent under reexamination (note that § 1.75(c) indicates how multiple dependent claims are considered for fee calculation purposes):
    - By a micro entity (§ 1.29) ..... \$20.00
    - By a small entity (§ 1.27(a)) ..... \$40.00
    - By other than a small or micro entity ..... \$80.00

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(5) If the excess claims fees required by paragraphs (c)(3) and (4) of this section are not paid with the request for reexamination or on later presentation of the claims for which the excess claims fees are due, the fees required by paragraphs (c)(3) and (4) must be paid or the claims canceled by amendment prior to the expiration of the time period set for reply by the Office in any notice of fee deficiency in order to avoid abandonment.		(f) For maintaining an original or reissue patent, except a design or plant patent, based on an application filed on or after December 12, 1980, in force beyond eight years, the fee being due by seven years and six months after the original grant:	
		By a micro entity	
		(§1.29) .....	\$900.00
		By a small entity	
		(§1.27(a)) .....	\$1,800.00
		By other than a small or micro entity .....	\$3,600.00
(6) For filing a petition in a reexamination proceeding, except for those specifically enumerated in §§1.550(i) and 1.937(d):		(g) For maintaining an original or reissue patent, except a design or plant patent, based on an application filed on or after December 12, 1980, in force beyond twelve years, the fee being due by eleven years and six months after the original grant:	
By a micro entity		By a micro entity	
(§1.29) .....		(§1.29) .....	\$1,850.00
By a small entity		By a small entity	
(§1.27(a)) .....		(§1.27(a)) .....	\$3,700.00
By other than a small or micro entity .....		By other than a small or micro entity .....	\$7,400.00
		(h) Surcharge for paying a maintenance fee during the six-month grace period following the expiration of three years and six months, seven years and six months, and eleven years and six months after the date of the original grant of a patent based on an application filed on or after December 12, 1980:	
		By a micro entity	
		(§1.29) .....	\$40.00
		By a small entity	
		(§1.27(a)) .....	\$80.00
		By other than a small or micro entity .....	\$160.00
		(i) [Reserved]	
(7) For a refused request for <i>ex parte</i> reexamination under § 1.510 (included in the request for <i>ex parte</i> reexamination fee at § 1.20(c)(1)):		(j) For filing an application for extension of the term of a patent.	
By a micro entity		Application for extension	
(§1.29) .....		under § 1.740 .....	\$1,120.00
By a small entity			
(§1.27(a)) .....			
By other than a small or micro entity .....			
(d) For filing each statutory disclaimer (§ 1.321) ...			
			\$160.00
(e) For maintaining an original or reissue patent, except a design or plant patent, based on an application filed on or after December 12, 1980, in force beyond four years, the fee being due by three years and six months after the original grant:			
By a micro entity			
(§1.29) .....			\$400.00
By a small entity			
(§1.27(a)) .....			\$800.00
By other than a small or micro entity .....			\$1,600.00

## U.S. Patent and Trademark Office, Commerce

## § 1.21

Initial application for interim extension under § 1.790 .....	\$420.00	(1) For admission to examination for registration to practice:
Subsequent application for interim extension under § 1.790 .....	\$220.00	(i) Application Fee (non-refundable): \$40.00.
(k) In supplemental examination proceedings:		(ii) Registration examination fee.
(1) For processing and treating a request for supplemental examination:		(A) For test administration by commercial entity: \$200.00.
By a micro entity (§ 1.29) .....	\$1,100.00	(B) For test administration by the USPTO: \$450.00.
By a small entity (§ 1.27(a)) .....	\$2,200.00	(2) On registration to practice or grant of limited recognition under § 11.9(b) or (c): \$100.00.
By other than a small or micro entity .....	\$4,400.00	(3) [Reserved]
(2) For <i>ex parte</i> reexamination ordered as a result of a supplemental examination proceeding:		(4) For certificate of good standing as an attorney or agent: \$10.00.
By a micro entity (§ 1.29) .....	\$3,025.00	(i) Suitable for framing: \$20.00.
By a small entity (§ 1.27(a)) .....	\$6,050.00	(ii) [Reserved]
By other than a small or micro entity .....	\$12,100.00	(5) For review of decision:
(3) For processing and treating, in a supplemental examination proceeding, a non-patent document over 20 sheets in length, per document:		(i) By the Director of Enrollment and Discipline under § 11.2(c): \$130.00.
(i) Between 21 and 50 sheets:		(ii) Of the Director of Enrollment and Discipline under § 11.2(d): \$130.00.
By a micro entity (§ 1.29) .....	\$45.00	(6)–(8) [Reserved]
By a small entity (§ 1.27(a)) .....	\$90.00	(9)(i) Delinquency fee: \$50.00.
By other than a small or micro entity .....	\$180.00	(ii) Administrative reinstatement fee: \$100.00.
(ii) For each additional 50 sheets or a fraction thereof:		(10) On application by a person for recognition or registration after disbarment or suspension on ethical grounds, or resignation pending disciplinary proceedings in any other jurisdiction; on application by a person for recognition or registration who is asserting rehabilitation from prior conduct that resulted in an adverse decision in the Office regarding the person's moral character; and on application by a person for recognition or registration after being convicted of a felony or crime involving moral turpitude or breach of fiduciary duty; on petition for reinstatement by a person excluded or suspended on ethical grounds, or excluded on consent from practice before the Office: \$1,600.00.
By a micro entity (§ 1.29) .....	\$70.00	(b) Deposit accounts:
By a small entity (§ 1.27(a)) .....	\$140.00	(1) For establishing a deposit account—\$10.00
By other than a small or micro entity .....	\$280.00	(2) Service charge for each month when the balance at the end of the month is below \$1,000—\$25.00
[78 FR 17106, Mar. 20, 2013, as amended at 78 FR 62395, Oct. 21, 2013]		(3) Service charge for each month when the balance at the end of the month is below \$300 for restricted subscription deposit accounts used exclusively for subscription order of patent copies as issued—\$25.00
<b>§ 1.21 Miscellaneous fees and charges.</b>		(c) [Reserved]
The Patent and Trademark Office has established the following fees for the services indicated:		(d) [Reserved]
(a) Registration of attorneys and agents:		